



CERTIFICATE OF CONFORMANCE

NOTE: Prior to completing this certification, the contractor reviews and complies using the form instructions on page 2 of this document.

A. Purchase Order (PO)/Contract Information

- | | |
|------------------------------|----------------------------|
| 1. PO/Contract No.: | 2. Revision/Amendment No.: |
| 3. PO Line Item Information: | |
| Line Item Number: | Quantity: |
| Heat/Cast Number: | Lot/Batch Number: |
| Serial Number: | |
| Item Identification: | |

B. Supplier/Source Information

- | | |
|-------------------|--|
| 4. Supplier Name: | 5. Supplier Address (Street/City/State): |
|-------------------|--|

Note: Nonconformance(s): Unless otherwise directed in writing by the Procurement Representative, do not deliver/ship materials/items/equipment if there are any changes, deviations, substitutions and/or nonconforming conditions that have not been previously submitted and approved.

C. Applicable Requirements

- | | |
|---|----------------------------------|
| 6. Code/Standard No.: | Revision/Issue/Edition/Addendum: |
| 7. Specification No.: | Revision/Issue/Edition/Addendum: |
| 8. Technical Drawings/Diagrams | Revision/Issue/Edition/Addendum: |
| 9. Manufacturer Published Description/Product Description | Manufacturer Specification: |
| 10. Other: | Revision/Issue/Edition/Addendum: |

D. Approved Changes/Deviations/Waivers/Substitutions/Nonconformances

E. Certification Statement

(Supplier), hereby certifies that the materials/items/equipment identified in Section A above, and all required documentations conforms in all respects to the stated Purchase Order/Contract requirements and that all exceptions, waivers, deviations, substitutions or nonconforming conditions are identified in Section D. Furthermore, information provided is accurate, complete and true pursuant to 10 CFR 820.11 (see definitions).

Authorized Certifying Official (See Definitions/Instructions):

Printed Name

Signature

Title

Date



CERTIFICATE OF CONFORMANCE

Instructions

Prepare a Certificate of Conformance (C of C) addressing each PO line item, Contract Deliverable, or each partial shipment. Unless otherwise specified, the C of C accompanies each shipment. All applicable form entries are completed.

Definitions

Authorized Certifying Official - The certification is attested to by an authorized representative of the supplier; and the certification system, including the procedures for completing, reviewing, and approving the certificate are described in the Company's administrative control system or Quality Assurance program.

Certification - The act of determining, verifying, and attesting in writing to the qualifications of personnel, processes, procedures, or items in accordance with specified requirements.

Certificate of Conformance - A document signed or otherwise authenticated by an authorized individual certifying the degree to which items or services meet specified requirements.

10 CFR 820.11 - Procedural Rules for DOE Nuclear Activities, Subpart "A", Information requirements. The regulation states: Any information pertaining to a nuclear activity provided to DOE by any person or maintained by any person for inspection by DOE are complete and accurate in all material respects.

No person involved in a DOE nuclear activity conceals or destroys any information concerning a violation of a DOE Nuclear Safety Requirement, a Nuclear Statute, or the Act.

Section A, Purchase Order (PO)/Contract Data

Entry 1 Enter the complete PO or Contract Number.

Entry 2 Enter PO/Contract Revision or Amendment Number, (if applicable).

Entry 3 Enter as applicable, the PO Line Item Number (i.e., 1, 2, 3), quantity, heat/cast number, lot/batch number, serial number, and item identification.

Section B, Supplier/Source Information

Entry 4 Enter the legal Supplier company name, as stated on the PO or Contract.

Entry 5 Enter the Supplier business address, as stated on the PO or Contract.

Section C, Applicable Requirements

Entry 6 Enter the applicable design code/standard number and applicable revision, issue, edition, or addendum.

Entry 7 Enter the applicable specification number and applicable revision, issue, edition, or addendum.

Entry 8 Enter the applicable technical drawing/diagram and applicable revision, issue, edition, or addendum.

Entry 9 Mark the applicable box manufacturer published description/product description or manufacturers specification.

Entry 10 Enter other applicable requirements documents and applicable revision, issue, edition, or addendum.

Section D, Approved Changes/Deviations/Waivers/Substitutions/Nonconformances

Enter any approved changes. Reference change documentation control numbers as applicable.

(Attach additional pages if necessary).

Section E, Certification Statement (see definitions)

Enter the Company name (or commonly used acronym).

Print or type the authorized company certifying officials name, title, and date.

Sign or otherwise authenticate by company certifying official.

Email Transmittal:

SQInspectionSupport@y12nsc.doe.gov